



Fig. 3.1. Tree representation of  $t = f(e, f(x, i(x)))$ .

Using a standard numbering of the nodes of the tree by strings of positive integers (as illustrated in the example), we can refer to positions in a term. In our example, position  $\epsilon$  (the empty string) refers to the symbol  $f$  on the top level, and position 2 refers to the symbol  $f$  that occurs as second argument of the top-level  $f$ . The subterm of  $t$  at position 2 is  $f(x, i(x))$ , and the subterm of  $t$  at position 22 is  $i(x)$ . More formally, notions like position and subterm can be defined by induction on the structure of terms.

**Definition 3.1.3** Let  $\Sigma$  be a signature,  $X$  be a set of variables disjoint from  $\Sigma$ , and  $s, t \in T(\Sigma, X)$ .

1. The set of positions of the term  $s$  is a set  $Pos(s)$  of strings over the alphabet of positive integers, which is inductively defined as follows:

- If  $s = x \in X$ , then  $Pos(s) := \{\epsilon\}$ , where  $\epsilon$  denotes the empty string.
- If  $s = f(s_1, \dots, s_n)$ , then

$$Pos(s) := \{\epsilon\} \cup \bigcup_{i=1}^n \{ip \mid p \in Pos(s_i)\}.$$

The position  $\epsilon$  is called the root position of the term  $s$ , and the function or variable symbol at this position is called the root symbol of  $s$ . The prefix order defined as

$$p \leq q \text{ iff there exists } p' \text{ such that } pp' = q$$

is a partial order on positions. We say that the positions  $p, q$  are parallel ( $p \parallel q$ ) iff  $p$  and  $q$  are incomparable with respect to  $\leq$ . The position  $p$  is above  $q$  if  $p \leq q$  and  $p$  is strictly above  $q$  if  $p < q$  (below is defined analogously).

2. The size  $|s|$  of a term  $s$  is the cardinality of  $Pos(s)$ .

3. For  $p \in Pos(s)$ , the subterm of  $s$  at position  $p$ , denoted by  $s|_p$ , is defined by induction on the length of  $p$ :

$$\begin{aligned} s|_\epsilon &:= s, \\ f(s_1, \dots, s_n)|_{iq} &:= s_i|_q. \end{aligned}$$

Note that, for  $p = iq$ ,  $p \in Pos(s)$  implies that  $s$  is of the form  $s = f(s_1, \dots, s_n)$  with  $i \leq n$ .

4. For  $p \in Pos(s)$ , we denote by  $s[t]_p$  the term that is obtained from  $s$  by replacing the subterm at position  $p$  by  $t$ , i.e.

$$\begin{aligned} s[t]_\epsilon &:= t, \\ f(s_1, \dots, s_n)[t]_{iq} &:= f(s_1, \dots, s_i[t]_q, \dots, s_n). \end{aligned}$$

5. By  $Var(s)$  we denote the set of variables occurring in  $s$ , i.e.

$$Var(s) := \{x \in X \mid \text{there exists } p \in Pos(s) \text{ such that } s|_p = x\}.$$

We call  $p \in Pos(t)$  a variable position if  $t|_p$  is a variable.

For the term  $t$  of the above example,  $Pos(t) = \{\epsilon, 1, 2, 21, 22, 221\}$ ,  $t|_{22} = i(x)$ ,  $t|_{21} = f(e, x)$ ,  $Var(t) = \{x\}$ , and  $|t| = 6$ . Note that the size of  $t$  is just the number of nodes in the tree representation of  $t$ . The set of positions of a term is obviously closed under taking prefixes, i.e. if  $q \in Pos(t)$  then  $p \in Pos(t)$  for all  $p \leq q$ . The following lemma states some useful rules for computing with positions and subterms.

**Lemma 3.1.4** Let  $s, t, \tau$  be terms and  $p, q$  be strings over the positive integers.

1. If  $pq \in Pos(s)$ , then  $s|_{pq} = (s|_p)|_q$ .
2. If  $p \in Pos(s)$  and  $q \in Pos(t)$ , then

$$\begin{aligned} (s[t]_p)|_{pq} &= t|_q, \\ (s[t]_p)[\tau]_{pq} &= s[t|_p]_q. \end{aligned}$$

3. If  $pq \in Pos(s)$ , then

$$\begin{aligned} (s[t]_p)|_q &= (s|_p)|_q, \\ (s[t]_p)[\tau]_p &= s[\tau]_p. \end{aligned}$$

4. If  $p$  and  $q$  are parallel positions in  $s$  (i.e.  $p \parallel q$ ), then

$$\begin{aligned} (s[t]_p)|_q &= s|_q, \\ (s[t]_p)[\tau]_q &= (s[\tau]_q)[t]_p. \end{aligned}$$